



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

CARL M. ELLISON

Application No.: 09/540,611

Filed: March 31, 2000

For: MANAGING ACCESSES IN A

PROCESSOR FOR ISOLATED

**EXECUTION** 

Art Group: 2782

Examiner: Unassigned

RECEIVED

NOV & 6 2002

Technology Center 2100

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The references were cited in a Search Report dated August 6, 2002 (copy enclosed herewith) from a foreign patent office in a counterpart EPO application, which was forwarded to Applicant's Representative in a communication dated August 6, 2002.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Applicant has concurrently submitted an electronic Information Disclosure Statement(s). Any fees that are required for submission of an Information Disclosure Statement were included in the electronic submission. Applicant respectfully requests that one submitted fee be accepted for the second and any subsequent Information Disclosure Statement submissions.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: November 18, 2002

William W. Schaal, Reg. No. 39,018

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

November 18, 2002

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11-18-02

Corrinn R. Reynolds

Date

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031 
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE 
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	TDANG			Application No.	09/54	40,611				
TRANSMITTAL FORM (to be used for all correspondence after initial filing)				Filing Date	Marc	ch 31, 2000		<u>۔</u> عد	-17	<b> </b>
				First Named Inventor	Carl	M. Ellison	RE	ノロ	:TV	
				Group Art Unit	2782		NOV	2	6	200
				Examiner Name	Unas	signed	Teennelê	ا ننت	Car	Ör
	Total Number of F	Pages in This Submission	on 20	Attorney Docket Number	r 4239	0P8112	iaailiala	#y •	3611 	æi :
ENCLOSURES (check all that apply)										
Fee Transmittal Form			Assignment Papers (for an Application)			After Allowar to Group	nce Communi	catio	on	
	Fee Attac	ched	Drawing(s)			Appeal Communication to Board of Appeals and Interferences				
	Amendment / Response Licensing-re			related Papers			Communication to Group  I Notice, Brief, Reply Brief)			
After Final Affidavits/declaration(s)			Petition			Proprietary Information				
Extension of Time Request			Petition to Convert a Provisional Application			Status Letter				
Express Abandonment Request			Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):				
Information Disclosure Statement			Terminal Disclaimer			SB08, Copy of Search Report and 9 Cited				
Certified Copy of Priority Document(s)			Request for Refund			References	Citod			
Response to Missing Parts/ Incomplete Application			CD, Number of CD(s)						_	
Response to Missing Parts under 37 CFR 1.52 or 1.53			Remarks							
		SIGNATURE	OF APPLICAN	NT, ATTORNEY, OR A	GENT					
Firm William W. Schaal, Reg. No. 39,018										
<i>or</i> Indi	ividual name	BLAKELY. S	KOLOFF. T	TAYLOR & ZAFN	IAN L	LP				
Signature BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP										
Dat	e (	November 18,	2002							
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Signature Date November 18, 2002								02		

PTO/SB/17 (10-02)

Approved for use through 10/31/2002. OMB 0651-0032

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EE TRANSMITTAL	Complete if Known						
	Application Number	09/540,611 RECEIVED					
for FY 2003	Filing Date	March 31, 2000					
Patent fees are subject to annual revision.	First Named Inventor	Carl M. Ellison NOV 2 6 2002					
Applicant claims small entity status. See 37 CFR 1.27.	Examiner Name	Unassigned NOV 2 6 2002					
	Group/Art Unit	2782 Тавная С.					
TOTAL AMOUNT OF PAYMENT (\$)	Attorney Docket No.	2782 Teehhology Center 210					

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)							
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None	3. A	DDITIO	NAL	FEES				
Deposit Account	Large	Entity	Sma	il Entity	<u>.</u>			
Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid		
Account Number 02-2666	1051	130	2051	65	Surcharge - late filing fee or oath	1 (3.1 (3.2)		
Deposit	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.			
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	2053	130	2053	130	Non-English specification			
The Commissioner is authorized to: ( check all that apply)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination			
Charge fee(s) indicated below Credit any overpayments	1804	920*	1804	920 *	* Requesting publication of SIR prior to Examiner action			
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840*	1805	1,840 *				
Charge fee(s) indicated below, except for the filling fee					Examiner action			
to the above-identified deposit account	1251	110	2251	55 200	Extension for reply within first month  Extension for reply within second month			
FEE CALCULATION	1252	400 920	2252	460	Extension for reply within third month			
1. BASIC FILING FEE Large Entity   Small Entity	1253 1254	1,440	2253	720	Extension for reply within fourth month			
Fee Fee Fee Fee Pescription Fee Paid	1255	1,960	2255	980	Extension for reply within fifth month			
Code (5) Code (5)	1404	320	2401	160	Notice of Appeal			
1001 740 2001 370 Utility filing fee	1402	320	2402	160	Filing a brief in support of an appeal			
1002 330 2002 165 Design filing fee	1403	280	2403	140	Request for oral hearing			
1003 510 2003 255 Plant filing fee		1,510	2451	1,510	Petition to institute a public use proceeding			
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable			
SUBTOTAL (1) (\$)	1453	1,280	2453	640	Petition to revive - unintentional			
SUBTOTAL (1)	1501	1,280	2501	640	Utility issue fee (or reissue)			
2. EXTRA CLAIM FEES Extra Fee from	1502	460	2502	230	Design issue fee			
Ctaims below Fee Paid	1503	620	2503	310	Plant issue fee			
Total Claims   Z0° = X   X   =	1460	130	2460	130	Petitions to the Commissioner			
Claims X	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			
Multiple Dependent =	1806	180	1806	180	Submission of Information Disclosure Stmt			
Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)			
Fee Fee Fee Fee Fee Fee Description  Code (\$)  Code (\$)	1809	740	1809	370	Filing a submission after final rejection (37 CFR § 1.129(a))			
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3	1810	740	2810	370	For each additional invention to be			
1203 280 2203 140 Multiple Dependent claim, if not paid				•	examined (37 CFR § 1.129(b))			
1204 84 2204 42 **Reissue independent claims over original	1801	740	2801	370	Request for Continued Examination (RCE)			
patent	1802	900	1802	900	Request for expedited examination of a design application			
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	Other fe	e (specify)	-		, , , , , , , , , , , , , , , , , , , ,			
SUBTOTAL (2) (\$)	* Dock word	l by Basic Film	n Foo Do	и	QUETOTAL (2)			
"or number previously paid, if greater, For Reissues, see below	, value	by basic F≡	e i oc ra	~	SUBTOTAL (3)			

SUBMITTED B	olete (if applicable)					
Name (Print/Type)	William W. Schaal	Registration No. (Attorney/Agent) 39,018		Telephone	(714) 557-3800	
Signature	ETV= NO			Date	11/18/02	

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